

# Form No. MGT-7



Form language

English  Hindi

## Annual Return (other than OPCs and Small Companies)

[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

All fields marked in \* are mandatory

Refer instruction kit for filing the form

### I REGISTRATION AND OTHER DETAILS

i \*Corporate Identity Number (CIN)

U65993MH2018PLC308329

ii (a) \*Financial year for which the annual return is being filed (From date) (DD/MM/YYYY)

01/04/2024

(b) \*Financial year for which the annual return is being filed (To date) (DD/MM/YYYY)

31/03/2025

(c) \*Type of Annual filing

Original

Revised

(d) SRN of MGT-7 filed earlier for the same financial years

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	ARKA FINCAP LIMITED	ARKA FINCAP LIMITED
Registered office address	2504, 2505, 2506, 25th Floor, One Lodha Place, Lodha World Towers, Senapati Bapat Marg, Lower Parel, Delisle Road, Mumbai, Maharashtra, India, 400013	2504, 2505, 2506, 25th Floor, One Lodha Place, Lodha World Towers, Senapati Bapat Marg, Lower Parel, Delisle Road, Mumbai, Maharashtra, India, 400013
Latitude details	19.00182	19.00182
Longitude details	72.82827	72.82827

(a) \*Photograph of the registered office of the Company showing external building and name prominently visible

Photos.pdf

(b) \*Permanent Account Number (PAN) of the company

AA\*\*\*\*\*6B

(c) \*e-mail ID of the company

\*\*\*\*\*ecretarialandcompliance@arkaholdings.com

(d) \*Telephone number with STD code

02\*\*\*\*\*00

(e) Website

www.arkafincap.com

iv \*Date of Incorporation (DD/MM/YYYY)

20/04/2018

v (a) \*Class of Company (as on the financial year end date)

(Private company/Public Company/One Person Company)

Public company

(b) \*Category of the Company (as on the financial year end date)

(Company limited by shares/Company limited by guarantee/Unlimited company)

Company limited by shares

(c) \*Sub-category of the Company (as on the financial year end date)

(Indian Non-Government company/Union Government Company/State Government Company/  
Guarantee and association company/Subsidiary of Foreign Company)

Indian Non-Government company

vi \*Whether company is having share capital (as on the financial year end date)

Yes

No

vii (a) Whether shares listed on recognized Stock Exchange(s)

Yes

No

(b) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code

viii Number of Registrar and Transfer Agent

1

CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent
U67190MH1999PTC118368	MUFG INTIME INDIA PRIVATE LIMITED	C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West, ),,Mumbai,Mumbai City,Maharashtra,India,400083	INR000004058

ix \* (a) Whether Annual General Meeting (AGM) held

Yes

No

(b) If yes, date of AGM (DD/MM/YYYY)

06/08/2025

(c) Due date of AGM (DD/MM/YYYY)

24/09/2025

(d) Whether any extension for AGM granted

Yes

No

(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

## II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i \*Number of business activities

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	66	Other financial activities	100

## III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i \*No. of Companies for which information is to be given

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Jo int Venture	% of shares held
1	U65993MH2021PTC363806		ARKA FINANCIAL HOLDINGS PRIVATE LIMITED	Holding	99.99
2	L29100PN2009PLC133351		KIRLOSKAR OIL ENGINES LIMITED	Holding	0.00

## IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

i SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
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Total number of equity shares	1000000000.00	928728108.00	928728108.00	928728108.00
Total amount of equity shares (in rupees)	10000000000.00	9287281080.00	9287281080.00	9287281080.00

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Equity Shares				
Number of equity shares	1000000000	928728108	928728108	928728108
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees )	10000000000.00	9287281080.00	9287281080	9287281080

**(b) Preference share capital**

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0.00	0.00	0.00	0.00
Total amount of preference shares (in rupees)	0.00	0.00	0.00	0.00

Number of classes

0

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				

Total amount of preference shares (in rupees )				
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**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>(i) Equity shares</b>						
<b>At the beginning of the year</b>	0	928728108	928728108.00	9287281080	9287281080	
<b>Increase during the year</b>	0.00	0.00	0.00	0.00	0.00	0.00
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	0	0.00	0	0	
iii Bonus issue	0	0	0.00	0	0	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	
v ESOPs	0	0	0.00	0	0	
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	0	0.00	0	0	
viii Conversion of Debentures	0	0	0.00	0	0	
ix GDRs/ADRs	0	0	0.00	0	0	
x Others, specify						
None	0	0	0.00	0	0	
<b>Decrease during the year</b>	0.00	0.00	0.00	0.00	0.00	0.00
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
iv Others, specify <input type="text" value="None"/>	0	0	0.00		0	
<b>At the end of the year</b>	0.00	928728108.00	928728108.00	9287281080.00	9287281080.00	
<b>(ii) Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0.00	0	0	
<b>Increase during the year</b>	0.00	0.00	0.00	0.00	0.00	0.00
i Issues of shares	0	0	0.00	0	0	
ii Re-issue of forfeited shares	0	0	0.00	0	0	
iii Others, specify <input type="text" value="None"/>	0	0	0.00	0	0	
<b>Decrease during the year</b>	0.00	0.00	0.00	0.00	0.00	0.00
i Redemption of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify <input type="text" value="none"/>	0	0	0.00		0	
<b>At the end of the year</b>	0.00	0.00	0.00	0.00	0.00	

ISIN of the equity shares of the company

ii Details of stock split/consolidation during the year (for each class of shares)

Class of shares		<input type="text"/>
Before split / Consolidation	Number of shares	
	Face value per share	

After split / consolidation	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

Nil

Number of transfers

1

**Attachments:**

1. Details of shares/Debentures Transfers

Transfer Details.xlsm

iv Debentures (Outstanding as at the end of financial year)

(a) Non-convertible debentures

\*Number of classes

4

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Non Convertible Debenture I	3000000	1000	3000000000.00
Non Convertible Debenture II	60	10000000	600000000.00
Non Convertible Debenture III	82500	100000	8250000000.00
Non Convertible Debenture IV	917	1000000	917000000.00
<b>Total</b>	<b>3083477.00</b>	<b>11101000.00</b>	<b>12767000000.00</b>

Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non Convertible Debenture I to IV	14078000000	6250000000	7561000000	12767000000.00
None	0	0	0	0.00
None	0	0	0	0.00
None	0	0	0	0.00
<b>Total</b>	<b>14078000000.00</b>	<b>6250000000.00</b>	<b>7561000000.00</b>	<b>12767000000.00</b>

**(b) Partly convertible debentures**

\*Number of classes

0
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Classes of partly convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
<b>Total</b>			

Classes of partly convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Total</b>				

**(c) Fully convertible debentures**

\*Number of classes

0
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Classes of fully convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
<b>Total</b>			

Classes of fully convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Total</b>				

**(d) Summary of Indebtedness**

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	14078000000.00	6250000000.00	7561000000.00	12767000000.00
Partly convertible debentures	0.00	0.00	0.00	0.00
Fully convertible debentures	0.00	0.00	0.00	0.00
<b>Total</b>	<b>14078000000.00</b>	<b>6250000000.00</b>	<b>7561000000.00</b>	<b>12767000000.00</b>

v Securities (other than shares and debentures)

1

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Commercial Paper	3000	500000	1500000000	500000	1500000000
<b>Total</b>	<b>3000</b>		<b>1500000000</b>		<b>1500000000</b>

V Turnover and net worth of the company (as defined in the Companies Act, 2013)

i \*Turnover

7879320054.53

ii \* Net worth of the Company

12455433992.53

VI SHARE HOLDING PATTERN

A Promoters

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	0	0.00	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00

	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	928709775	100.00	0	0.00
10	Others <input type="text"/>	0	0.00	0	0.00
	<b>Total</b>	928709775.00	100	0.00	0

Total number of shareholders (promoters)

**B Public/Other than promoters**

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	18333	0.00	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00

	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	0	0.00	0	0.00
10	Others <input type="text"/>	0	0.00	0	0.00
	<b>Total</b>	18333.00	0	0.00	0

Total number of shareholders (other than promoters)

Total number of shareholders (Promoters + Public/Other than promoters)

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	Category	
1	Individual - Female	1
2	Individual - Male	6
3	Individual - Transgender	0
4	Other than individuals	1
	<b>Total</b>	8.00

**C Details of Foreign institutional investors' (FIIs) holding shares of the company**

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

**VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**
**[Details of Promoters, Members (other than promoters), Debenture holders]**

Details	At the beginning of the year	At the end of the year
Promoters	8	8
Members (other than promoters)	1	1
Debenture holders	3205	2912

**VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**
**A Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A Promoter</b>	0	0	0	0	0	0
<b>B Non-Promoter</b>	1	7	1	10	0.00	1.00
i Non-Independent	1	2	1	3	0	1
ii Independent	0	5	0	7	0	0
<b>C Nominee Directors representing</b>	0	0	0	0	0.00	0.00
i. Banks and FIs	0	0	0	0	0	0
ii Investing institutions	0	0	0	0	0	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	0	0	0	0

v Others	0	0	0	0	0	0
<b>Total</b>	1	7	1	10	0.00	1.00

\*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

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**B (i) Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
NASSER MUKHTAR MUNJEE	00010180	Director	0	
SAMRAT GUPTA	07071479	Managing Director	0	
GAURI ATUL KIRLOSKAR	03366274	Director	0	
SIVANANDHAN DHANUSHKODI	03607203	Director	0	
VIJAY CHUGH	07112794	Director	0	
YOGESH KAPUR	00070038	Director	0	
GURUMURTHY RAMANATHAN	10366010	Director	0	
HOSHANG NOSHIRWAN SINOR	00074905	Director	0	
RAHUL NARAIN BHAGAT	02473708	Director	0	
VIMAL BHANDARI	00001318	Director	0	01/04/2025
MAHESH RAMCHAND CHHABRIA	00166049	Director	1	01/04/2025
RIDHI ZAVERI GANGAR	ALFPG0689P	CFO	0	
NIKI CHIRAG MEHTA	AVHPM0709Q	Company Secretary	0	

**B (ii) \*Particulars of change in director(s) and Key managerial personnel during the year**

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)
VIMAL BHANDARI	00001318	Whole-time director	23/10/2024	Change in designation

SAMRAT GUPTA	07071479	Managing Director	23/10/2024	Appointment
NASSER MUKHTAR MUNJEE	00010180	Additional Director	23/10/2024	Appointment
NASSER MUKHTAR MUNJEE	00010180	Director	23/10/2024	Change in designation
HOSHANG NOSHIRWAN SINOR	00074905	Additional Director	28/01/2025	Appointment
HOSHANG NOSHIRWAN SINOR	00074905	Director	28/01/2025	Change in designation
RAHUL NARAIN BHAGAT	02473708	Additional Director	20/02/2025	Appointment
RAHUL NARAIN BHAGAT	02473708	Director	25/03/2025	Change in designation
HARISH HANSUBHAI ENGINEER	01843009	Director	14/06/2024	Cessation
AMIT KUMAR GUPTA	AHVPG3911E	CFO	18/02/2025	Cessation
RIDHI ZAVERI GANGAR	ALFPG0689P	CFO	19/02/2025	Appointment

#### IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

##### A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

\*Number of meetings held

4

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	25/06/2024	8	8	99.99
Extraordinary General Meeting	22/10/2024	8	5	99.99
Extraordinary General Meeting	27/01/2025	8	6	99.99
Extraordinary General Meeting	25/03/2025	8	5	99.99

##### B BOARD MEETINGS

\*Number of meetings held

8

S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/04/2024	8	8	100
2	23/07/2024	7	5	71.43
3	30/07/2024	7	7	100
4	22/10/2024	7	5	71.43
5	02/12/2024	9	9	100
6	27/01/2025	9	8	88.89
7	19/02/2025	10	9	90
8	25/03/2025	11	10	90.91

#### C COMMITTEE MEETINGS

Number of meetings held

16

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	30/04/2024	6	6	100
2	Audit Committee	23/07/2024	5	4	80
3	Audit Committee	22/10/2024	5	3	60
4	Audit Committee	27/01/2025	5	4	80
5	Audit Committee	19/02/2025	5	5	100
6	Audit Committee	25/03/2025	5	4	80
7	Nomination and Remuneration Committee	30/04/2024	3	3	100
8	Nomination and Remuneration Committee	25/06/2024	2	2	100

9	Nomination and Remuneration Committee	21/10/2024	3	3	100
10	Nomination and Remuneration Committee	27/01/2025	3	3	100
11	Nomination and Remuneration Committee	19/02/2025	3	3	100
12	Stakeholders Relationship and Customer Service/Consumer Protection Committee	30/04/2024	3	3	100
13	Stakeholders Relationship and Customer Service/Consumer Protection Committee	29/11/2024	3	3	100
14	Stakeholders Relationship and Customer Service/Consumer Protection Committee	24/01/2025	3	2	66.67
15	Corporate Social Responsibility Committee	30/07/2024	4	4	100
16	Corporate Social Responsibility Committee	25/03/2025	4	4	100

#### D ATTENDANCE OF DIRECTORS

S. No	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on  (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								06/08/2025
1	NASSER MUKHTAR MUNJEE	4	4	100	0	0	0	Yes
2	SAMRAT GUPTA	4	4	100	3	3	100	Yes
3	GAURI ATUL KIRLOSKAR	8	7	87.5	2	2	100	Yes
4	SIVANANDHAN DHANUSHKODI	8	5	62.5	11	8	72.73	Yes
5	VIJAY CHUGH	8	8	100	8	8	100	Yes

6	YOGESH KAPUR	8	8	100	11	11	100	Yes
7	GURUMURTHY RAMANATHAN	8	8	100	9	9	100	Not applicable
8	HOSHANG NOSHIRWAN SINOR	2	2	100	0	0	0	No
9	RAHUL NARAIN BHAGAT	1	1	100	0	0	0	Yes
10	VIMAL BHANDARI	8	7	87.5	2	2	100	Not applicable
11	MAHESH RAMCHAND CHHABRIA	8	6	75	14	11	78.57	Not applicable

#### X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

A \*Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	Samrat Gupta	Managing Director	37000000	0	0	0	37000000.00
2	Vimal Bhandari	Whole-time director	37964087	0	0	0	37964087.00
	<b>Total</b>		74964087.00	0.00	0.00	0.00	74964087.00

B \*Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	Ridhi Gangar	CFO					
2	Niki Mehta	Company Secretary					
3	Amit Kumar Gupta	CFO					
	<b>Total</b>						

C \*Number of other directors whose remuneration details to be entered

11

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
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1	Vimal Bhandari	Director	0	0	0	225000	225000.00
2	Mahesh Chhabria	Director	0	2500000	0	1030000	3530000.00
3	Gauri Kirloskar	Director	0	600000	0	675000	1275000.00
4	Sivanandhan Dhanushkodi	Director	0	600000	0	835000	1435000.00
5	Vijay Chugh	Director	0	600000	0	1070000	1670000.00
6	Harish Engineer	Director	0	600000	0	195000	795000.00
7	Yogesh Kapur	Director	0	600000	0	1095000	1695000.00
8	Gurumurthy Ramanathan	Director	0	300000	0	1270000	1570000.00
9	Nasser Munjee	Director	0	0	0	300000	300000.00
10	Hoshang Sinor	Director	0	0	0	150000	150000.00
11	Rahul Bhagat	Director	0	0	0	75000	75000.00
	<b>Total</b>		0.00	5800000.00	0.00	6920000.00	12720000.00

#### XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A \*Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B If No, give reasons/observations

#### XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A \*DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/ DIRECTORS/OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B \*DETAILS OF COMPOUNDING OF OFFENCES

Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

**XIII Details of Shareholder / Debenture holder**

Number of shareholder/ debenture holder

2921

**XIV Attachments**

(a) List of share holders, debenture holders

Details of Shareholder or  
Debenture holder (1).xlsx

(b) Optional Attachment(s), if any

Optional Attachment.pdf  
List of Debenture Holders.pdf

**XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of **ARKA FINCAP LIMITED** as required to be

maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY) **31/03/2025**

In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

- 1 its status under the Act;
- 2 maintenance of registers/records & making entries therein within the time prescribed therefor;
- 3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
- 4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
- 5 closure of Register of Members / Security holders, as the case may be.
- 6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
- 7 contracts/arrangements with related parties as specified in section 188 of the Act;
- 8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
- 10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- 11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- 12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- 13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
- 15 acceptance/ renewal/ repayment of deposits;
- 16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
- 17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
- 18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by

Name

Avinash Bagul

Date (DD/MM/YYYY)

04/10/2025

Place

Mumbai

Whether associate or fellow:

Associate  Fellow

Certificate of practice number

1\*8\*2

**XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014**

\* (a) DIN/PAN/Membership number of Designated Person

47286

\* (b) Name of the Designated Person

NIKI CHIRAG MEHTA

**Declaration**

I am authorised by the Board of Directors of the Company vide resolution number\* 10 dated\* (DD/MM/YYYY) 22/10/2024 to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2 All the required attachments have been completely and legibly attached to this form.

**\*To be digitally signed by**

**\*Designation**

*(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))*

Director

**\*DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator**

0\*0\*1\*7\*

**\*To be digitally signed by**

Company Secretary  Company secretary in practice

**\*Whether associate or fellow:**

Associate  Fellow

Membership number

4\*2\*6

Certificate of practice number

**Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.**

**For office use only:**

eForm Service request number (SRN)

AB7955401

eForm filing date (DD/MM/YYYY)

04/10/2025

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**This eForm has been taken on file maintained by the registrar of companies through electronic mode and on the basis of statement of correctness given by the filing company.**

For Office Use Only:

eForm Service request number(SRN)

AB7955401

eForm filing date(DD/MM/YYYY)

04/10/2025

Certification signature by DS  
REGISTRAR OF COMPANIES  
MAHARASHTRA MUMBAI  
<roc.mumbai@mca.gov.in>, Validity  
Unknown

Digitally signed by  
DS REGISTRAR  
OF COMPANIES  
MAHARASHTRA  
MUMBAI  
Date: 2025.10.05  
16:32:12 IST